

# Amazonian functional diversity from forest canopy chemical assembly

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Patterns of tropical forest functional diversity express processes of ecological assembly at multiple geographic scales and aid in predicting ecological responses to environmental change. Tree canopy chemistry underpins forest functional diversity, but the interactive role of phylogeny and environment in determining the chemical traits of tropical trees is poorly known. Collecting and analyzing foliage in 2,420 canopy tree species across 19 forests in the western Amazon, we discovered (i) systematic, community-scale shifts in average canopy chemical traits along gradients of elevation and soil fertility; (ii) strong phylogenetic partitioning of structural and defense chemicals within communities independent of variation in environmental conditions; and (iii) strong environmental control on foliar phosphorus and calcium, the two rock-derived elements limiting CO<sub>2</sub> uptake in tropical forests. These findings indicate that the chemical diversity of western Amazonian forests occurs in a regionally nested mosaic driven by long-term chemical trait adjustment of communities to large-scale environmental filters, particularly soils and climate, and is supported by phylogenetic divergence of traits essential to foliar survival under varying environmental conditions. Geographically nested patterns of forest canopy chemical traits will play a role in determining the response and functional rearrangement of western Amazonian ecosystems to changing land use and climate.

Amazon basin | leaf traits | biological diversity | chemical phylogeny | community assembly

Foliage is a locus of chemical investment undertaken by plants to capture and use sunlight for carbon gain under changing environmental conditions and compete with coexisting individuals and species. Plants acquire essential chemical elements from soils, and they synthesize a wide variety of compounds in their leaves to support multiple interdependent physiological processes. Uptake of nitrogen and phosphorus plus the internal production of photosynthetic pigments, including chlorophyll and carotenoids, are required for light capture and carbon fixation in foliage (1). Soluble carbon, primarily comprised of sugars, starch, pectins, and lipids, is then synthesized to meet the energy requirements of the entire plant (2). Other macro- and micronutrients (e.g., calcium) underpin critical leaf functions, such as stomatal conductance and cell wall development. To support the carbon capture process, foliar structural compounds, such as lignin and cellulose, are synthesized to provide strength and longevity (3), and polyphenols are generated for chemical defense (4). Variation in this leaf chemical portfolio expresses multiple strategies evolved in plants to maximize fitness through growth and longevity in any given environment.

Despite our understanding of plant chemical and physiological processes, the way that environment and evolution interact to determine geographic variation in plant canopy chemistry remains a mystery. In turn, this shortfall sets a fundamental limit on our knowledge of the core determinants of functional diversity in and across ecosystems, with cascading limits on our understanding of biogeographic and biogeochemical processes. Although much research has either focused on plant functional trait differentiation among coexisting species in communities (5) or emphasized trait convergence in response to environmental

filters, such as climate and soils (6), few studies have examined the interconnections between phylogeny and environment in determining functional diversity by way of canopy chemistry (7). This gap is particularly true in the tropics, where our understanding of the interplay between evolution and environmental factors is perhaps weakest because of high plant diversity and a poor understanding of plant community assembly (8). Today, we know very little about canopy chemical traits at community to biome scales in the tropics (9).

Western Amazonian forests are a case in point. The forested corridor stretching from Colombia to Bolivia and from the Andean tree line to the Amazon lowlands harbors thousands of plant species arranged in communities distributed across widely varying elevation, geologic, soil, and hydrologic conditions (10, 11). Although the general biological diversity of the region is coming into focus (12, 13), the functional diversity of the forest remains unknown. To understand the regional assembly of forest functional traits and their underlying controls in Amazonia, we must determine the degree to which canopy chemistry is environmentally filtered and phylogenetically partitioned as well as how chemical traits are organized within and among communities. If chemical traits are plastic among coexisting taxa, then biological diversity may be decoupled from functional diversity. Alternatively, if there exists strong phylogenetic organization of canopy chemical traits, then biological diversity may express functional trait diversity and vice versa. Determining the connection between functional and biological diversity may help to explain how so many species coexist within communities and how communities differ throughout the region (14).

Here, we are interested in chemical diversity among coexisting tropical canopy tree species and their evolved responses to regional

## Significance

Canopy trees are keystone organisms that create habitat for an enormous array of flora and fauna and dominate carbon storage in tropical forests. Determining the functional diversity of tree canopies is, therefore, critical to understanding how tropical forests are assembled and predicting ecosystem responses to environmental change. Across the megadiverse Andes-to-Amazon corridor of Peru, we discovered a large-scale nested pattern of canopy chemical assembly among thousands of trees. This nested geographic and phylogenetic pattern within and among forest communities provides a different perspective on current and future alterations to the functioning of western Amazonian forests resulting from land use and climate change.

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environmental filters thought to limit functional trait divergence. Thus, we developed chemical trait portfolios for tree canopies spread along a 3,500-m elevation gradient stretching from lowland Amazonia to the Andean tree line in Peru (*SI Methods* and *Tables S1* and *S2*). We assessed the role of taxonomy as well as within- (intraspecific) and between-species (interspecific) variations in determining community and regional chemical assembly. Our study incorporated 2,420 canopy tree species in 19 forests along the elevation gradient, and our sampling included the majority of canopy tree species known to occur in the western Amazon (11, 12). Because submontane to montane Andean forests exist primarily on younger geologic surfaces, whereas lowland forests occur on a mosaic of young to old substrates, we also considered the role of soils in mediating canopy chemical trait distributions. We asked two questions. (i) How does the canopy chemistry of western Amazonian forests vary with elevation? (ii) How much of the variation is explained by taxonomy compared with plasticity within taxa? We focused on light capture and growth traits (including N, P, and photosynthetic pigments) as well as structure and defense traits (total C, lignin, cellulose, and phenols). We also considered Ca as a key element regulating foliar metabolism and nutrient cycling in humid tropical ecosystems (15, 16), and we measured  $\delta^{13}\text{C}$  and soluble carbon as indicators of performance (17). Finally, we assessed sources of variation in leaf mass per area (LMA), a foliar structural property expressing plant investment strategies based on multiple chemical and physiological traits (18).

## Results

**Regional Chemical Diversity.** Canopy chemical traits varied widely among the thousands of trees surveyed along the Andes–Amazon elevation gradient (Table 1 and Table S3). Foliar N, P, and lignin spanned an order of magnitude in value, whereas Ca and phenols varied by two orders of magnitude. Community-scale variation in many chemical traits tracked changes in elevation (Fig. S1) and at times, was closely related to climate (Table S4). Intercomparison of elevational trends in canopy chemistry was made possible by applying a gradient normalization procedure to the data, which shows the percentage increase or decrease in a community's average trait value relative to the gradient mean (*SI Methods*). By doing this normalization, elevational trends among all forests were found to differ from observed trends among high-fertility sites alone, revealing the central role of soils in determining community-level canopy chemistry in the region (Fig. 1). Most notably, foliar P and Ca concentrations on higher-fertility lowland sites were two times that measured on lower-fertility lowland sites, and soluble C concentrations were elevated in higher-fertility areas (Table 2). In contrast, total C, phenols, and lignin were suppressed in the higher-fertility sites.

We also discovered elevation-dependent tradeoffs in canopy foliar C allocation throughout the region. Up the elevation gradient, cellulose and lignin decreased 100% relative to their region-wide mean. Soluble C increased by almost 150% with elevation (Fig. 1), and this change occurred in parallel to a nearly 200% increase in LMA. Changes in C allocation were tightly linked to mean annual temperature and precipitation along the gradient (Table S4).

We found opposing patterns for P and Ca—two rock-derived nutrients often thought to limit growth in tropical forests (16). With increasing elevation, foliar P increased 100% above the gradient mean value (Fig. 1A), but this elevational pattern disappeared after the removal of the low-fertility sites from the analysis (Fig. 1B). In contrast, mean foliar Ca concentration decreased by 100% from the Amazonian lowlands to tree line in the Andes. Foliar N declined only slightly with elevation. Additional analyses revealed decreasing P and Ca on a leaf area basis, despite the fact that LMA increased with elevation (Fig. S2 and Table S5). Finally, foliar  $\delta^{13}\text{C}$  increased by about 200% with

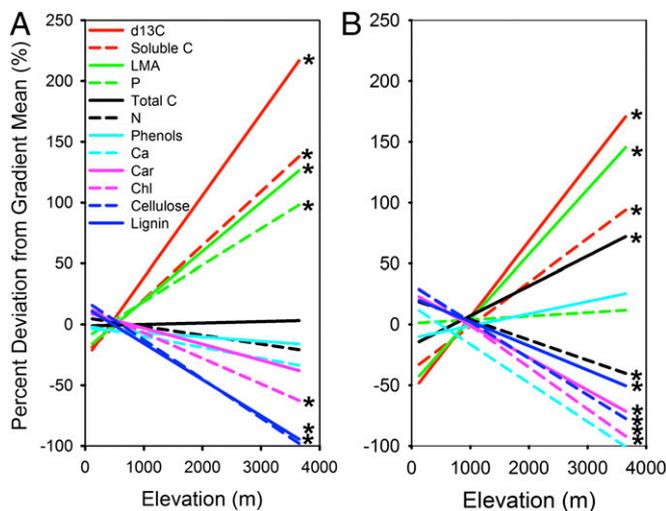
**Table 1. Descriptive statistics for canopy foliar traits in forests along the Andes–Amazon elevation gradient in Peru**

Foliar traits	Mean (SD)	Minimum	Maximum
<b>All forests (2,420 species)</b>			
$\delta^{13}\text{C}$ (per mil)	-31.5 (1.5)	-36.2	-25.4
LMA ( $\text{g m}^{-2}$ )	104.09 (32.55)	33.43	296.61
Total C (%)	49.4 (3.2)	34.8	58.6
Soluble C (%)	43.05 (11.17)	16.87	80.58
Chlorophyll ( $\text{mg g}^{-1}$ )	7.03 (2.42)	1.47	18.04
Carotenoid ( $\text{mg g}^{-1}$ )	1.49 (0.48)	0.40	5.86
N (%)	2.08 (0.67)	0.57	5.54
P (%)	0.12 (0.07)	0.03	0.82
Ca (%)	0.93 (0.85)	0.02	7.25
Phenols ( $\text{mg g}^{-1}$ )	104.76 (53.25)	1.23	321.11
Lignin (%)	25.95 (10.00)	2.98	62.15
Cellulose (%)	18.96 (5.40)	5.98	43.23
<b>Higher-fertility soils (919 species)</b>			
$\delta^{13}\text{C}$ (per mil)	-31.4 (1.6)	-35.3	-25.4
LMA ( $\text{g m}^{-2}$ )	98.46 (34.39)	33.43	296.61
Total C (%)	47.9 (3.1)	35.7	55.3
Soluble C (%)	47.38 (11.43)	16.87	80.58
Chlorophyll ( $\text{mg g}^{-1}$ )	7.61 (2.54)	1.47	18.04
Carotenoid ( $\text{mg g}^{-1}$ )	1.61 (0.49)	0.41	3.42
N (%)	2.18 (0.68)	0.63	5.23
P (%)	0.17 (0.08)	0.05	0.82
Ca (%)	1.43 (0.92)	0.07	6.38
Phenols ( $\text{mg g}^{-1}$ )	89.94 (49.69)	1.23	238.79
Lignin (%)	21.72 (8.55)	3.89	54.58
Cellulose (%)	17.59 (5.08)	5.98	40.00

The data are presented for all 19 forest sites and for a subset of 10 sites that occur on high-fertility soils (*SI Methods*).

elevation relative to its mean gradient value, and this trend occurred independent of site fertility (Fig. 1).

**Taxonomic Partitioning of Chemical Traits.** Beyond the average community-scale changes in canopy chemical traits throughout the



**Fig. 1.** Changes in average canopy foliar traits along a 3,500-m Andes to Amazon elevation gradient for (A) all sites on all soil types and (B) a subset of sites on high-fertility soils. The lines are ordinary least squares regression fits for each trait after normalization of the data to their elevation gradient mean values (site mean – gradient mean)/gradient SD (*SI Methods*). \*Linear regression fits to foliar data that are significant at the  $P < 0.05$  level. Car, carotenoid; Chl, chlorophyll.





majority of the variance partitioning for nitrogen occurs at the family level, reflecting the particularly dominant role of N-fixing trees (Fabaceae) in the western Amazon.

**Nested Chemical Assembly in Western Amazonia.** Across western Amazonia, we have established (i) systematic, community-scale shifts in average canopy chemical traits along regional gradients of elevation and soils; (ii) high chemical diversity among coexisting trees within communities that is driven by differences between species rather than intraspecific variation; and (iii) strong phylogenetic partitioning of foliar C fractions and defense chemicals, but not P, Ca, or  $\delta^{13}\text{C}$ , within forest communities. Together, these findings suggest the existence of a nested regional pattern that links soils and elevation to foliar nutrients and foliar nutrients to carbon and defense compound allocation and functional diversification.

At the broadest scales, environmental filtering of canopy chemistry occurs in response to rock-derived nutrient availability in soils. Foliar P and Ca track differences in soil type in the lowlands (39), whereas Ca also decreases with increasing elevation (Fig. 1). Decreasing Ca availability with elevation was observed in the work by Homeier et al. (40), but it was not seen in other tropical elevation gradients (41). Our study did not incorporate soil nutrient analyses, and therefore, we can only hypothesize that decreased Ca availability might occur from slow weathering at high elevation or transport losses of Ca to lower elevations. Whatever the case, our results strongly suggest that patterns of rock-derived nutrient concentrations in foliage reflect geologic source variation (16, 42) and not phylogeny. Our taxonomic analyses support this conclusion, because regional variation in P and Ca was clearly dominated by site, which incorporates variation in geologic substrate and soils in the absence of phylogenetic control (Fig. 2).

Regional variation in canopy P and Ca concentrations is, in turn, linked to canopy adjustments in C and defense compound allocation at the community level (Fig. 1). In the lowlands, where P varies widely, communities on low-fertility soils preferentially allocate to lignin and phenol production. This strategy supports increased leaf longevity under low-nutrient conditions and drives up leaf construction costs (35, 37, 43). With increasing elevation in the Andean Amazon, foliar Ca concentrations decline, with associated increases in soluble C and declines in lignin and cellulose allocations but increased LMA. The increased LMA may be caused by proportionally more soluble C being allocated to cuticle waxes at higher elevations, but we did not separate out waxes in our laboratory assays.

Against this regional backdrop of community-scale adjustment to rock-derived nutrient availability, climatological growth conditions are generally good, even with increasing elevation (31–33, 44), and foliar N is generally high everywhere. Such productive conditions go hand in hand with high pest and pathogen pressure on foliage (9, 25, 45). In turn, fine-scale biotic interactions between trees and pests or pathogens drive diverse strategies in defense compound and carbon allocations, which are expressed in phylogenetically organized patterns as shown. Although these underlying processes are recognized (9, 46–48), such patterns have not been reported in canopies across a wide range of environmental conditions in the humid tropics.

**Ecological Implications.** The nested geographic and phylogenetic pattern of chemical assembly in forest communities of the western Amazon provides a perspective on the potential response of the region to ongoing and future changes in land use and climate. This region is a mosaic of functionally unique communities existing on specific combinations of soils and elevation, with each community undergoing chemical convergence driven largely by variation in rock-derived nutrients and climate. Land use decisions tend to be made on a similar basis of constraining abiotic

filters. For example, gold mining dominates in portions of the warm lowland landscape containing nutrient- and gold-rich alluvium, including on river floodplains (49). These areas harbor communities with regionally distinct functional attributes, which we have determined, including relatively high growth and low-defense compound chemical investment. In contrast, deforestation for cattle ranching is largely focused on terra firme terraces that harbor communities on older, lower-fertility clays with trees evolved to invest more in defense and longevity (50). In the Andean submontane to montane region, forest clearing occurs for agricultural products requiring cooler temperatures (e.g., cacao and coffee). Rapid deforestation in these zones means yet other losses of communities with chemical traits unique from the lowlands. Given that these forms of land use often do not overlap geographically, each activity removes a different portion of the Amazonian functional diversity mosaic that has assembled through time.

Beyond land use effects on Amazonian functional losses, if tree canopy chemistry is adaptive to host abiotic environments over long periods of time, climate change may facilitate shifts in communities of tree species to analogous conditions under which they have functionally assembled. This potential driver of change is largely dependent on the rate of chemical trait adaptation, which may be quite slow (51). If too slow, lagged chemical trait adaptations could reinforce the process of biogeographic migration that is mediated by not only elevation and climate but also by soils that are not uniformly distributed throughout the region. The background soil template could impart both opportunity for and barriers against the movement of communities as required by the rapid velocity of climate change (52).

Finally, a clearer sense of the diversity and organization of canopy chemical traits may help us to forecast winners and losers within specific communities in response to climate change. Predicted warmer temperatures may favor species that have evolved to invest more in light capture and growth chemicals or species without the energetic burden of maintaining strong defense chemistries (53). Evidence already exists at the growth form level to support this idea: lianas (woody vines) are proliferating under warmer, drier, and/or sunnier conditions (54). To help explain observations of increasing liana cover or abundance, recent phytochemical surveys reveal that lianas are genetically predisposed to invest more in light capture and growth chemicals at the expense of structure and defense, which may support positive responses to warmer and drier conditions (19, 53). Beyond such growth form-specific responses, recent reports of highly variable rates of upward Andean migration among coexisting tree species (55, 56) hint that a phylogeny of functional traits will play a critical role in determining which species will migrate, persist, or disappear with climate change.

## Methods

We collected top of canopy leaf samples from 3,856 individual trees comprised of 2,420 species (and 445 species with three to five replicates) in 19 forest sites arrayed by elevation and soil type in northern, central, and southern Peru (*SI Methods* and *Tables S1* and *S2*). Our collection represents the majority of canopy tree species found throughout the western Amazon. Along the elevation gradient, mean annual precipitation ranges from 2,448 to 5,020  $\text{mm y}^{-1}$ . Mean annual temperature varies from 8.0 °C at the Amazonian tree line in the Andes to 26.6 °C in the warmest lowland site. Comparison of mean annual temperature from weather stations and elevation data at each site indicate a negative linear relationship ( $R = -0.96$ ;  $P < 0.001$ ).

Soils are consistent at higher elevations, comprising the US Department of Agriculture soil orders Inceptisol and Entisol above ~600-m elevation (Table 1). In the lowlands (<600 m above sea level), soils vary among three broad classes: Ultisols on terra firme clay substrates, Inceptisols on inactive high-fertility floodplains of the late Holocene age, and Entisols in two locales in northern Peru. These Entisols were the well-known white sand substrates associated with very low nutrient availability (57). We analyzed the canopy data with respect to all sites as well as considering only the higher-fertility

substrates. These higher-fertility sites have a history of scientific research, including soil studies (22, 58), indicating that they could be treated as nutrient-rich relative to the remaining lower-fertility sites. Our selection of the higher-fertility sites was also supported by our canopy foliar N:P values (Table S1)—N:P values below 14–16 in these sites indicate weak P limitation of primary production (42).

Only fully sunlit canopy tree species were included in this study, because many canopy chemicals and LMA are highly sensitive to vertical light gradients within forests (18). Combining sun and shade leaves confuses

chemical trait comparisons within species, among species, and between communities. Leaf collections were conducted using tree-climbing techniques with strict leaf selection standards. Field cryogenetic treatment of samples, transport and preparation, and laboratory assays are described in *SI Methods*.

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